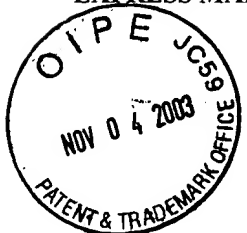


11-06-03

UTZ Rec'd PCT/PTO PCT 04 NOV 2003



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FORM PTO-1083

Attorney Docket No. 03528.0046.00US00In re application of Krammer, Peter *et al.*

Application. No. 09/402,569

Filed: October 1, 1999

For: **PROTEIN FOR INHIBITING APOPTOSIS**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following:

1. PTO Form 1083 (in duplicate, 1 page);
2. Copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures;
3. Second Preliminary Amendment (8 pages);
4. Sequence Listing, paper copy (6 pages);
5. 1 Diskette (computer readable copy of Sequence Listing); and
6. Return receipt postcard

xx

No additional claim fee is required.

The claim fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total Claims	*	MINUS	**	= 0
Indep. Claims	*	MINUS	**	= 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY	
Rate	Addit. Fee
x 9 =	\$ 00.00
x 42 =	\$ 00.00
+140 =	\$ 00.00
Total Addit. Fee	\$ 00.00

OTHER THAN A SMALL ENTITY	
Rate	Addit. Fee
x 18 =	\$ 00.00
x 80 =	\$ 00.00
+ 270 =	\$ 00.00
TOTAL	\$ 00.00

xx

The U.S. Patent and Trademark Office is hereby authorized to charge and fee deficiency or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 03528.0046.00US00. A duplicate copy of this sheet is attached.

Date: November 4, 2003

Albert P. Halluin (Reg. No. 25,227)  
 Viola T. Kung (Reg. No. 41,131)  
 Lorelei P. Westin (Reg. No. 52,353)

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U.S. APPLICATION NUMBER NO. 09/402,569	FIRST NAMED APPLICANT PETER KRAMMER	ATTY. DOCKET NO. 35280046US00
INTERNATIONAL APPLICATION NO. PCT/DE98/00940		

ALBERT P HALLUIN  
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I.A. FILING DATE 04/01/1998	PRIORITY DATE 04/01/1997
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SEP 17 2003

CONFIRMATION NO. 6108

371 FORMALITIES LETTER

SEP 18 2003

WASHINGTON, D.C.



\*OC000000010854749\*

Date Mailed: 09/12/2003 Howrey - 07

### NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

Docket Day for

File

Action Resp. to Notice Due

Due Date 11-12-03

W/Ext's 04-12-04

Initials 192

09/18/03

VONDA M WALLACE

Telephone: (703) 305-3736

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/402,569	PCT/DE98/00940	35280046US00

FORM PCT/DO/EO/922 (371 Formalities Notice)